

Holographic and time-resolving ability of pulse-pair two-dimensional velocity interferometry

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Previous velocity interferometers used at research laboratories for shock physics experiments measured target motion at a point or many points on a line on the target. Recently, a two-dimensional (2d) version (2d-velocity interferometer system for any reflector) has been demonstrated using a pair of ultrashort (3 ps) pulses for illumination, separated by 268 ps. We have discovered new abilities for this instrument, by treating the complex output image as a hologram. For data taken in an out of focus configuration, we can Fourier process to bring narrow features such as cracks into sharp focus, which are otherwise completely blurred. This solves a practical problem when using high numerical aperture optics having narrow depth of field to observe moving surface features such as cracks. Furthermore, theory predicts that the target appearance (position and reflectivity) at two separate moments in time are recorded by the main and conjugate images of the same hologram, and are partially separable during analysis for narrow features. Hence, for the cracks we bring into refocus, we can make a two-frame movie with a subnanosecond frame period. Longer and shorter frame periods are possible with different interferometer delays. Since the megapixel optical detectors we use have superior spatial resolution over electronic beam based framing cameras, this technology could be of great use in studying microscopic three-dimensional-behavior of targets at ultrafast times scales. Demonstrations on shocked silicon are shown. © 2014 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4884880]

I. INTRODUCTION

An important optical diagnostic for shock physics over many years has been a Velocity Interferometer System for Any Reflector (VISAR).^{1–4} This measures target motion to high precision using phase shifts of fringes produced by interfering light reflected from the target at two different times, slightly delayed. Until recently, this diagnostic has been limited to measuring motion at points or lines across a target using quasi-continuous wave illumination.^{1–3}

Recently, our group introduced an ultrashort pulse twodimensional (2d) imaging version of a VISAR.⁵⁻¹⁰ We have used it at the Rochester's Omega Laser system⁹ and at LLNL's Jupiter Laser system¹¹ (Fig. 1) to measure 2d velocity and reflectivity maps of shocked Si, diamond, and other materials in a snapshot mode. Not only does this have an extra imaging dimension compared to streak camera VIS-ARs, but the spatial resolution is also much higher. The 4000 \times 4000 pixel CCD detector we use has many more resolution elements (~2000 in each dimension, limited by the target lens blur) than does a typical electron beam imaging device such as a streak camera, which might have ~50 resolution elements across its output phosphor screen.

The higher spatial resolution is needed to resolve fine cracks in the surface of shocked targets undergoing brittle fracture, and to simultaneously record four phase stepped versions of the image, which can be subsequently overlaid accurately to 1-pixel precision to obtain phase and magnitude information. Our apparatus also simultaneously uses a conventional line-imaging streak camera VISAR looking at a portion of the same target. In shots where the 2d-VISAR observes fine cracks, the line-VISAR does not resolve them – they manifest merely a change in average target reflectivity.

A consequence of using an ungated integrating detector such as a CCD array is that we must use it in a snapshot mode where the time resolution is provided by the illumination through an ultrashort laser pulse of 3 ps (actually a pair of pulses). This is because with current technology we cannot affordably purchase a movie camera that has sufficiently high spatial resolution together with picosecond shutter times. But in principle, a movie camera is what one desires for a fringe detector of an ultimate VISAR, to provide both time history and 2d spatial imaging.

A. Matched-delay interferometers in series

A pair of illumination pulses is used, rather than a single pulse. This is because the 3 ps laser pulse width is much shorter than the interferometer delay (268 ps), so that no interference would result from use of a single ultrashort pulse and a single unequal arm interferometer. To accurately measure the target change in position (velocity), the pulses must arrive at the target separated in time (t_1, t_2) . Yet, for the reflected pulses to produce interference at the detector, they must overlap in time. This dilemma is solved by the use of two matched-delay interferometers in series, before and after the target, which produces four pulses from a single laser

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FIG. 1. The 1d- and 2d-velocity interferometers (VISAR) at LLNL's Jupiter Laser Facility observed the onset of fracture networks in shocked Si.¹¹ The conventional 1d-VISAR lacked sufficient spatial resolution to observe the cracks seen by the 2d-VISAR, but provided time history. Published under license in Erskine *et al.*, J. Phys.: Conf. Ser. **500**, 142013 (2014). Copyright 2014 IOP Publishing Ltd.

pulse (Figs. 2–4). The two inner pulses of the four interfere, creating 50% visibility fringes.

The rectangles in Fig. 2 represent glass etalons that delay light while maintaining apparent ray path angles,^{2,12} by creating a virtual image of the mirror behind it. This so-called field-widened or superimposing interferometer scheme allows high visibility fringes from diffusively scattering targets.

The pair of illumination pulses is created by a first (input or illumination) interferometer that precedes the target, having the same delay as the 2nd (output or detecting) interferometer that follows the target. Changes in target velocity create proportional shifts in the relative timing of the inner pulses that overlap at the detector, creating a corresponding fringe phase shift with the same velocity per fringe (VPF) proportionality as a conventional VISAR. This technique^{5–7} was originally demonstrated on incoherent white light, then applied to ultrashort laser pulses.⁹ Other low coherence illumination such as chirped pulses are feasible now for velocity



FIG. 2. The white light or dual-interferometer velocimeter scheme^{5–10} uses a pair of matched-delay interferometers in series to produce fringes, in spite of pulsewidths (3 ps) shorter than the interferometer delay (268 ps). A single laser pulse entering first interferometer creates two pulses (1 and 2) interrogating target at different times. At the detector four pulses arrive, but only the inner two temporally overlap and interfere. Push-pull math isolates these from the constant energy of the outer two, which does not vary with phase quadrature dither. Motion of target during the pulse pair interval creates a small time shift between inner pulses proportional to velocity, which mainfests a fringe phase shift. Rectangles are glass etalons that delay light while maintaining apparent ray path angles.^{2,12} Reprinted with permission from Rev. Sci. Instrum. **81**, 035101 (2010). Copyright 2010 American Institute of Physics.

interferometry. (The latter would allow use of wavelength as a new time recording parameter.)

B. Refocusing numerically post-experiment

We have discovered an interesting and useful improvement to the 2d-VISAR, which is a numerical post-processing (Fig. 5), easily implemented via Fourier transform, of the complex 2d-image data which normally outputs from the VISAR analysis. That is, we treat the data as a hologram and recover some three-dimensional (3d) information. If the original data were taken in an out of focus condition, we have demonstrated¹³ the ability to bring narrow features such as cracks back into focus (Fig. 6), post-measurement, when otherwise they are blurred. This is a very useful ability, since often it is difficult to precisely focus specular targets (such as clean silicon or diamond) and anticipate their motion prior to the moment of illumination, especially with the narrow depth of field of the fast lenses typically used to collect a large solid



FIG. 3. During the experiment the target image in the camera is assumed defocused by amount Z_{df} so that diffraction ring components (D_1, D_2) , are recorded by detector. Only the inner two pulses (electric fields at focus E_1 , E_2) interfere to produce a fringing signal which varies with phase stepping in the 2nd interferometer. Constant (phase stepping independent) diffraction ring contributions by dust specks along the path cancel in the push-pull math.



(b) Reflectivity feature (crack develops)



(c) Velocity feature (phase develops)



FIG. 4. Holography involves two interfering wavefronts (of electric field, E_1 , E_2), but classic holography (a) can assign the holographic information solely to features on the wavefront reflected from the target, since the reference wavefront is smooth. (Focal region shown, the detector records the defocused diffracted patterns.) In our holography, both wavefronts reflect off the target, and both can contain reflectivity (black dot) or phase (tophat) features which change between times t_1 and t_2 . (b) Black dot represents increased absorption of a opening crack. (c) Tophat represents localized changed height (in z) of target, i.e., a phase (velocimetry) are approximately separable for each wavefront for narrow features in our technique.

angle of light reflected from a target. This ability could also be useful for exploring the 3d debris region of a shocked textured target, or targets having 3d shape not residing in a single plane.

C. Two-frame movie effect

Furthermore, theory and numerical simulation predicts, and preliminary data support, the notion that the target appearance (position and reflectivity) at two separate moments in time are simultaneously recorded by the main and conjugate images of the same hologram, and are partially separable during analysis for narrow features, provided the target was recorded in a defocused configuration where the main and conjugate images are well separated in *z*-direction (longitudinal). Hence, for the cracks we bring into refocus, we can make a two-frame movie (Fig. 7) with a subnanosecond frame period. Longer and shorter frame periods are possible with use of different interferometer delays.

This two-frame movie behavior is both surprising and not surprising. It is not surprising because it is consistent with the use of a pulse pair rather than a single pulse to interrogate the sample. Yet, it is surprising because a conventional VISAR interferometer theory for the recorded fringe intensity involves an electric field cross term $E_1E_2^*$, which becomes



FIG. 5. (a) Numerical refocusing on recorded complex image W(x, y). A Fourier operation transforms a wavefront from the focal plane to Fourier plane (approximately same as at pupil plane for collimated light), where a parabolic phase difference is added to mimic changing camera lens power and hence changing focal position by ΔZ . (b) For simplicity, we assume the target and camera lens have same f/# and the light is collimated in between them, and we account for the $17 \times$ magnification by assuming the pixels at detector are 0.53 μ m instead of actual 9 μ m. The f/3 target lens has focal length f = 146 mm.

 $r_1r_2e^{i2\pi(\theta_1-\theta_2)}$, where θ_n is wavefront phase reflected from target and encodes surface position along *z*, and r_n is the reflectivity coefficient, at times 1 or 2. The point is that only the difference in phase, not the individual phases, is being sensed, and only the product of the reflectivities, not the individual reflectivities, is being sensed. This suggests a two-frame movie cannot be made.

But that term, appropriate for theory of a single point or line-VISAR, does not account for the effect of 2d-imaging in a defocused configuration. Theory and numerical simulations show that for the 2d-VISAR, the main and conjugate holographic images approximately embody the appearance of the target at two separate times t_1 and $t_2 = t_1 + \tau_a$, for narrow features, where τ_a is the delay of the first interferometer.

D. A kind of holography

We note that a 2d-VISAR is a kind of holography, since in both cases two wavefronts at optical frequency are interfered (Fig. 4) and the so-produced fringes at zero or relatively low frequency are recorded across a 2d image. However, with the VISAR both of two wavefronts reflect off the target (and during that interval the target changes its Z position slightly due to velocity, and/or its reflectivity due to shock loading). Whereas in a conventional holography one of the two wavefronts, called the reference wavefront, does not reflect off the target and is ideally smooth and uniform, so that features in the fringes represent just the wavefront reflected from the target.

In our holography, the output is kind of a double exposure, with the main and conjugate images are along the same



FIG. 6. Demonstration of numerical focusing on shocked Si (shot 020910-04), for target reflectivity (a) and (b), and for target velocity (c) and (d). By target reflectivity we actually mean |W(x, y)|. Black is zero magnitude. Target velocity is proportional to W phase, showing the deviation from local average. (a) and (c) are as-measured having blurred features. Note diffraction rings in (a). (b) and (d) are numerically refocused by ~300 μ m to main image, demonstrating recovering of narrow cracks not resolved in as-measured data (a) or (c). (b) Crack with a refocused width of about 4 pixels, ~2 μ m, (feature [J] of Fig. 9). (d) Interesting trigonal crack feature (feature [L] of Fig. 9) manifesting symmetry of Si at [111] orientation. Scale is 0.53 μ m per pixel. (a) and (b) Reprinted with permission from J. Appl. Phys. **114**, 133504 (2013). Copyright 2013 American Institute of Physics. (c) and (d) Published under license in Erskine *et al.*, J. Phys.: Conf. Ser. **500**, 142013 (2014). Copyright 2014 IOP Publishing Ltd.

optical path, displaced by the shot-time defocus amount (Z_{df}) . For narrow features which blur rapidly with focus, the narrow features of one image can be distinguished from the blurry defocused version of the same feature in the other image.



FIG. 7. Interpreted "two-frame movie" showing time development of cracks in shocked Si for two places, ~100 μ m wide, labeled J and K. These are magnitudes of conjugate and main holographic images numerically refocused from an experimental exposure by $\Delta Z = \pm 0.26 \ (\pm 300 \ \mu m)$ for main(-)/conj(+).

Hence, the larger Z_{df} , the larger the features to be disentangled from the double exposure (we use $Z_{df} = 0.15$ in our model). If the target was measured in a focused configuration (the intention of the experimenters taking our data), then $Z_{df} = 0$, the main and conjugate images superimpose, and the time-isolating (two-frame movie) effect does not occur. The shocked Si data we show here are just a few instances where it was accidentally defocused.

E. Comparison to prior holography

Holography has been used previously to measure ejecta from shocked surfaces,¹⁴ and a shock front.¹⁵ These used a conventional two beam (reference and object) arrangement to create fringes on the detector. Work of Greenfield *et al.*¹⁶ at LANL also used pulsed illumination to freeze motion of a shock sample observed in 2d by an interferometer. However, their system does not record simultaneous phase quadrature, as our system does. Consequently, their spatial resolution is significantly less because they (essentially) need to use adjoining pixels to provide the phase quadrature.

Recent ultrashort pulse digital holography work¹⁷ at Kyoto Institute of Technology differs from our topology by using a single illumination pulse where we use a pair, and having the target internal to the interferometer instead of external as in our technique. With a single illumination pulse

they are measuring a single target image and not a change in position over significant time. Thus, they cannot measure the target velocity to the same precision that we can with a double pulse.

Since our target is external to the interferometers, our target can be a safe distance away from the operators and equipment, and the target position or surface texture does not change the interferometer alignment. In our configuration, homodyning is performed so it can work with complicated wavefronts from diffusively scattering targets.

II. APPARATUS

Figure 1 shows the target arrangement at the 2d-VISAR at LLNL's Jupiter Laser facility to study shocked Si.¹¹ A 6 ns 532 nm Janus Laser pulse hitting 10 μ m of CH creates a drive pulse buffered by 50 μ m of Al. The drive pulse has a square profile \sim 500 μ m wide. The 2d and 1d VISAR optics images a \sim 1 mm region on the back of the Si. The line-VISAR simultaneously measures the back of the target and provides a time history, with a spatial resolution of 30-50 μ m along a 1 mm line of 15 μ m width. The moment of the snapshot 2d-VISAR exposure is recorded by a fiducial. The 2d-VISAR probe beam is a 3 ps 1 mJ pulse of 400 nm doubled light from a Ti-sapphire laser. The target back is imaged through the detecting interferometer of the VISAR system, having a 268 ps delay between its arms. To create a quadrature phase recording, the image is recorded four times simultaneously in four quadrants of same detector (Fig. 8) with 1/4 wavelength delay shift between quadrants.^{9,10}

III. PUSH-PULL DATA ANALYSIS

The data analysis technique¹⁰ for processing the four quadrants into velocity data uses push-pull math, similar to a conventional single point push-pull VISAR,² but for each pixel of the image. On a 4000 × 4000 pixel CCD detector we record four 2000 × 2000 quadrant images of the target. The quadrants are labeled S_0 , S_{90} , S_{180} , S_{270} and ideally have 90° interferometer phase stepping relationship. A simple astigmatic adjustment corrects non-ideal phase relationships.¹⁰ For each pixel (x, y), we form two 2d outputs, nonfringing intensity (I), and complex fringing (W)

$$I = S_0 + S_{90} + S_{180} + S_{270}, \tag{1}$$

$$W = (S_0 - S_{180}) + i(S_{90} - S_{270}).$$
⁽²⁾

The complex fringing output is further expressed in polar coordinates and yields phase (θ) and magnitude (Mag) outputs

$$\tan 2\pi\theta = \frac{\Im W}{\Re W}, \quad \text{Mag} = |W|. \tag{3}$$

The magnitude |W| is similar to the intensity *I* but without detector and incoherent light offsets. (Comparison of the two is a useful check of data validity.) The refocusing operates on *W*, not *I*, and hence the |W(x, y)| and $\theta(x, y)$ are the two useful refocused outputs.



FIG. 8. Example 2d-VISAR phase stepped data. (a) A single CCD detector records four simultaneous interferometer outputs having 90° phase relation. Push-pull math (Eqs. (1) and (2)) converts these to (c) fringing (phase or velocity) and (b) nonfringing (intensity) images. Fringe magnitude |W| looks similar to intensity image. Horizontal fringes in (b) are not interferometer fringes but parasitic fringes between sample and LiF window. These do not affect velocimetry result (c). Reprinted with permission from J. Appl. Phys. **114**, 133504 (2013). Copyright 2013 American Institute of Physics.

Conversion from phase $\theta(x, y)$ to target velocity is by multiplication by the velocity per fringe constant (VPF), set by the average interferometer delay τ as described by the equation¹⁸ for a conventional VISAR, which for a windowless configuration and $\tau = 268$ ps is $VPF = \lambda/(2\tau) = 705$ m/s per fringe. This is the distance (in units of λ) traveled during an interval τ , with a factor of 2 for the roundtrip since light reflects normally off target.

IV. NUMERICAL REFOCUSING

Figure 9 is example defocused data, a nonfringing image of windowless shocked Si (shot 020910-04). The many wormlike features are cracks, slightly out of focus. The defocusing was accidental – focusing on clean specular targets is difficult.

The wormlike features can be brought into narrow crack appearance via a numerical refocusing operation (Fig. 5): for a 800 × 800 pixel subset of the image a fast Fourier transforms (FFT) brings W(x, y) in pixel space from the focal plane to the Fourier plane W(u, v), where u, v are spatial frequencies and range from -400 to 400, and the edges correspond to Nyquist frequencies of ± 0.5 pixel⁻¹. For the nearly collimated light upstream of the camera lens, the wavefront at



FIG. 9. Wormlike features in this as-measured nonfringing image are defocused cracks having diffraction rings. This is shocked Si without a window, about 1 mm field of view, shot (020910-04). Features in boxes (J), (K), and (L) are shown in other figures. Scale is 0.53 μ m per pixel. Reprinted with permission from Rev. Sci. Instrum. **83**, 043116 (2012). Copyright 2012 American Institute of Physics.

pupil plane is approximately the same as at the Fourier plane. A paraboloidal phase shift $\Phi(u, v)$ in units of cycles

$$\Phi(u, v) = 0.5\Delta Z (u^2 + v^2) / C^2 \tag{4}$$

and where C = 20 is an arbitrary constant, is applied to the phase of W(u, v) to simulate the addition of a thin lens to shift the focal position proportional to ΔZ . The inverse Fourier operation is then performed, and we use outputs |W'| for an ordinary nonfringing image and phase $\theta(x, y)$ for velocity.

We designate the Π symbol to represent the propagation (diffraction or refocusing) process which finds the new *W* relocated by ΔZ

$$W' \equiv \prod_{\Delta Z} [W(x, y)] = iFFT\{e^{i2\pi\Phi(u,v)}FFT[W(x, y)]\},$$
(5)

where FFT is the fast Fourier transform and iFFT its inverse.

Figure 6 shows example numerical focusing on data of Fig. 9, zooming on crack features "J" and "L" shown in yellow and green boxes of Fig. 9. The top panels (a) and (c) are as-measured data recorded out of focus. Note the diffraction rings in (a). The true shapes of these features are obscured by the defocused condition.

The bottom panels (b) and (d) are after numerical refocusing using $\Delta Z = -0.26$. The rings of (a) have come together to produce a narrow crack of width about 4 pixels or 2 μ m. Note the interesting trigonal feature in (d) which manifests the symmetry of Si at [111] orientation. Hence, refocusing has revealed interesting shapes of features otherwise obscured, and it works for both the reflectivity (magnitude of W) and velocity maps (phase of W).

One knows when focus has been achieved because many features distributed around the whole image sharpen simultaneously. This is hard to convey in a single still image. To aid in finding focus, we produce movies with the ΔZ varying with the frame number; then we can quickly vary the focus as if it was an analog lens being moved.

The broad features, in magnitude or phase, are not significantly changed by the refocusing operation, similar to analog refocusing. Note that the backgrounds of (c) and (d) are similar for broad features. Thus, velocity maps previously calculated using out of focus data are still valid except for the very smallest scales (few micrometers).

Figure 10 compares phase and magnitude refocused results for spot J and K. The phase maps show less fluctuations than the magnitude maps, since the former is a difference in wavefront phases ($\theta_1 - \theta_2$) for defocused features and so systematic irregularities tend to cancel out. Whereas the magnitude maps are a product of the two wavefront reflectances r_1r_2 for defocused features, so any irregularities would not cancel out. Figure 11 shows that many of the fluctuations seen in the magnitude in the shot exposure are also seen in a reference exposure taken prior to the shot and refocused to the same position as the shot exposure, and therefore are not shock related.



FIG. 10. Refocused magnitude |W| and phase maps for main ($\Delta Z = -0.26$) and conjugate ($\Delta Z = 0.26$) images. The mag decreases to near zero inside some cracks. The phase has fewer fluctuations than mag, since it is less sensitive to out of focus systematics (things that affect reference and shot exposures in common) such as dust specks in optical train, since for those the usual difference ($\theta_1 - \theta_2$) applies, cancelling them. There is only faint velocity disturbance at each crack. Cracks appear more developed at $\Delta Z = -0.26$ than at +0.26, so we designate former to be "main" (at t_2). Negative ΔZ implies recorded defocused image is focused before not after the detector. Rectangles are ~600 pixels horizontal size or ~300 μ m. They include spots J and K near (600, 750) of Fig. 9.

Figure 12 shows another shot (020910-03) on silicon on same day also taken accidentally in a defocused condition. The defocus amount here is smaller, 0.08 instead of 0.26.



FIG. 11. Many of the magnitude fluctuations are systematics which appear in common both on reference and shot exposures, which could be defocused dust specks on the apparatus optical train. Comparison of |W| of the shot (a) to a reference exposure (b) taken prior to shot and refocused to same $\Delta Z = -0.26$ position as shot shows many of the same fluctuations.

A. Blur from target lens

Figure 13 is a lineout across a crack, showing that the features we observe after numerical refocusing may be as small as 3 or 4 pixels, at 0.53 μ m per pixel, thus 1.5–2 μ m. Some of the cracks are quite dark, having nearly zero magnitude minimum. This is consistent with (not smaller than) the simulated diffraction limited blur from our f/3 target lens as shown in Fig. 14. A 1-pixel crack creates a partially deep minimum (unlike what we see in data), but a 2 pixel or wider crack creates a much darker minimum more like that in the data.

That our f/3 target lens has about half the numerical aperture of the f/1.3 boundaries of the Fourier/pupil plane is



FIG. 12. A different shot on Si (020910-03), also showing crack development. This is a magnitude map (a) as measured ($\Delta Z = 0$), and at the two refocused images, (b) $\Delta Z = -0.08$ (90 μ m) for main and (c) $\Delta Z = +0.08$ for conjugate. The rectangles are 500 × 400 pixels (265 × 212 μ m).



FIG. 13. How narrow cracks appear in magnitude (b) and phase (c) along a lineout shown as rainbow line across a " π " shaped feature (spot K of Fig. 9) in refocused image. Minimal width of dark cracks (FWHM of 3 or 4 pixels, or ~2 μ m) is not smaller than the theoretical 2 pixel blur of an f/3 target lens (Fig. 14). Black curves are of reference image prior to shot.

consistent with the Fourier transform of the W(x, y) data shown in Fig. 15. Note that the dark area surrounding the f/3 region has very low noise, demonstrating that our instrument has very good dynamic range, and that in the future a larger numerical aperture lens such as f/1.4 could be used effectively to improve the spatial resolution $2 \times$ further, to ~1 pixel or 0.5 μ m.

The boundary of the Fourier (~pupil) plane is assigned a value of $f\# = 0.53/\lambda = 1.33$ using the grating equation applied to a Nyquist spatial frequency in the focal plane. That this Fourier/pupil plane scaling is correct is confirmed by observing in our refocusing model that a solid circular disk at the focal plane produces an Airy ring pattern at the Fourier plane having the correct angular size.

B. Physical length units of refocus parameter ΔZ

In our refocusing mathematics, we use a dimensionless unit for ΔZ that scales the amount of parabolic delay $\Phi(u, v)$ added to W(u, v) to delay it, to change the curvature of the wavefront so that the focus is repositioned. We calculate a corresponding physical length for ΔZ , based on the angle the delay $\Phi(400, 0)$ makes at the edge of the Fourier/pupil plane and small angle approximations to the trigonometry. The size of gap between a circle (which approximates the parabolic wavefront and whose center is the focus) and an inscribed triangle having its apex at the circle center is the edge value of parabolic shape $\Phi(400, 0)$. One finds that the ratio between ΔZ and Φ is $\sim 2(2 f \#)^2$. The f# to use, if one is evaluating Φ at the edge of pupil array, is f# = 0.53 μ m/ λ = 1.33. So the ratio $dZ/d\Phi = 14$, and each dimensionless Z unit corresponds to 1.124 mm. For the data example of Fig. 10, where we observed a focus at dimensionless $\Delta Z = 0.26$, then $\Phi(400, 0) = 52$ waves and then $\Delta Z = 52 \times 14 \times 0.4 = 300 \ \mu$ m. We have no recorded quantitative measurements for target to lens distance, and the target was destroyed after the shot, so we cannot confirm, but this amount sounds physically realistic.

V. THEORY

A. Overview

Given knowledge of the optical electric field *E* at one location, one can in principle use full-up diffraction theory (such as Chap. 8 in Born and Wolf¹⁹) to calculate (propagate) the *E* anywhere else along the path, although the mathematics may be complicated when expressed analytically. The propagation, which we denote as $E'(x, y) = \prod_{\Delta Z} (E(x, y))$ with refocus parameter ΔZ , also applies to the sum of two pulses – the pulse pair is additive in electric field. This is relevant since holography operates on the interference between two wavefronts.

We simplify diffraction theory and just apply a parabolic delay to the wavefronts at the Fourier/pupil plane to refocus by small amounts. The Fourier transform of E(x, y) in the focal plane yields E(u, v) in the Fourier plane, which is one focal length behind the pupil plane. Since we are using nearly collimated rays and weak amounts of wavefront curvature, we approximate the Fourier plane to also describe the pupil plane. Hence, $\Delta \Phi \approx \Delta \Phi'$ in Fig. 5(a). We have for the refocused field

$$E' \equiv \Pi_{\Delta Z}[E(x, y)] = iFFT\{e^{i2\pi\Phi(u,v)}FFT[E(x, y)]\}$$
(6)

and $\Phi(u, v)$ given by Eq. (4).

Each detector quadrant records an intensity $S_n(x, y)$ related to the electric fields E_1 and E_2

$$S_n = |E_1 + E_2|^2 = |E_1|^2 + |E_2|^2 + (E_1 E_2^*) + (E_1 E_2^*)^*.$$
(7)

Due to the phase stepping in Eq. (2) for W, all the terms cancel except $W \sim (E_1 E_2^*)$. Then since the numerical simulation shows that the time-isolating behavior requires a nonzero background (white or black features against gray), it is useful to describe deviations D from a background and make the substitutions $E_1 = (1 + D_1)$ and $E_2 = (1 + D_2)$. Then the complex field is

$$W \sim (1 + D_1)(1 + D_2)^* = 1 + D_1 + D_2^* + D_1 D_2^*.$$
 (8)

We assume a small signal |D| < 1 so that we neglect the 2nd order term. This is reasonable since the one or both of the



FIG. 14. Simulated blurring by an f/3 lens and wavelength $\lambda = 0.4 \,\mu$ m for perfectly black cracks of 1 × 10 pixels (b) or 2 × 10 pixels (c), 0.53 μ m per pixel. (a) Fourier plane approximates pupil plane for collimated light, and shows the FFT of crack after it is windowed by the circular pupil of f/3 lens. (The pixel size relative to λ assigns the edge of pupil plane to f/1.3.) An inverse FFT then produces the blurred image at focal plane (b). (c) The 2 pix width corresponds to $\sim 1 \,\mu$ m.

components is usually defocused (unless $Z_{df} = 0$), and defocusing spatially dilutes the amplitude of *D*.

Equation (8) has the additive situation, rather than multiplicative, that we are seeking to explain the time-isolation effect. The complex conjugate on D_2^* is important because it causes the phase to reverse for the hologram component associated with the other wavefront – it generates the 2nd holographic image which leads to the time isolation effect, and as Fig. 16 shows, when the arrow of time reverses polarity it causes the conjugate and main images to reverse roles. Details of theory are elaborated below.

B. Theory: In-focus case

Consider the conventional case where the target is wellfocused on the camera detector. The wavefront arriving at the detector is

$$E_1(x, y) = r_1(x, y)e^{i2\pi\theta_1(x, y)}\epsilon_0(t).$$
 (9)

We are not concerned with the details of $\epsilon_0(t)$ which describes the electric field at optical frequencies, since the detector is integrating. The r_n is a reflectivity coefficient. The target surface position in *z* (along optical path) is expressed by the phase θ so that a change in *z* of a wavelength λ creates one cycle of phase change. The other pulse is delayed by the first interferometer so it interrogates the sample at two instances separated by τ_a

$$E_2(x, y) = r_2(x, y)e^{i2\pi\theta_2(x, y)}\epsilon_0(t + \tau_a - \tau_b + \delta\tau).$$
 (10)

The 2nd interferometer reverses the delay shift, by amount τ_b to $(\tau_a - \tau_b)$, and we assume the residual offset is smaller than the pulsewidth (3 ps) so that we have good fringe visibility (~50%). This is easy to achieve. We can just assume $\tau_a = \tau_b = \tau$ and that target velocity over interval τ creates a change in *z* that manifests in a phase shift in the measured fringe. The $\delta \tau$ is the $\sim \lambda/4$ quadrature stepping. Expressing this as a phase change $\phi_n = \delta \tau_n / \lambda$,

$$E_2(x, y) = r_2(x, y)e^{i2\pi\theta_2(x, y)}e^{i2\pi\phi_n}\epsilon_0(t).$$
 (11)

The intensity of a detector quadrant is

$$S_n = |E_1 + E_2|^2 = |E_1|^2 + |E_2|^2 + (E_1 E_2^*) + (E_1 E_2^*)^*.$$
(12)

We ignore the first two terms since they contribute constant intensity independent of the phase stepping, and thus will cancel in the push-pull Eq. (2) for W. Second, the choice of quadrature phase steps create coefficients $e^{i2\pi\phi_n} = (1,i,-1,-i)$, which interact with the similar or counter-rotating coefficients in the equation for W to eliminate all but the $(E_1E_2^*)$ term. Substituting for E_1 and E_2 we get

$$W \sim E_1 E_2^* = r_1 r_2 e^{i2\pi(\theta_1 - \theta_2)} \langle |\epsilon_0(t)|^2 \rangle,$$
 (13)

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FIG. 15. (a) Fourier transform W(u, v) of data whirl W(x, y) is an approximation to the pupil plane. This shows that the signal energy is in a circle of diameter consistent with an f/3 target lens, surrounded by a clean dark background indicating low detector noise. (This plot is invariant to refocusing since that only changes phase not magnitude in pupil plane.) A horizontal lineout 0.1 pix⁻¹ wide (blue lines) across middle of 2d-FFT is shown in bottom panel (b). An 800 square pixel subset of data centered at (600,800) was used (see Fig. 9). The dynamic range of our system is excellent. This allows effective future use of a faster target lens, up to f/1.3.

where $\langle |\epsilon_0(t)|^2 \rangle$ accounts for the integrating action of the detector.

So the reflectivity perceived by the apparatus is $r_1(x, y)r_2(x, y)$. These are entangled together, not able to isolate r_1 from r_2 . Similarly, the target position measured by the *W* fringe phase is only sensed through a change $(\theta_1 - \theta_2)$. These are entangled and the separate target surface profiles are not isolated.

C. Theory: Defocused case

The numerical simulation shows that the time-isolating effect does not work for the targets having white features against zero background, but works for a bright field configuration, which could be white or black features against a gray background. This suggests re-expressing the defocused wavefront as a combination of a background and a deviation signal $E' \rightarrow (1 + D)$ so that the as-measured defocused wavefronts



FIG. 16. The direction of the arrow of time determines the phase polarity of the hologram (diffraction ring in W) which determines whether a main or conjugate image is formed later during numerical refocusing. The upper portion of graphics depicts the interfering wavefronts of the two inner pulses at the target surface, which sense the nature (reflectivity, z position) of the surface at times t_1 and t_2 . The bottom portion of graphics depicts the resulting out of focus diffraction ring in W at the detector, and how it forms an image as if we were reconstructing it using actual light from left (instead of numerical reconstruction). (a) Suppose a dark crack opens with time so that it appears in wavefront 2 but wavefront 1 remains smooth. The hologram during numerical refocusing (adjusting ΔZ) creates an image designated "main." (b) If the crack closes with time, then the order of wavefronts is effectively swapped which causes phase reversal of hologram due to complex conjugate in equation. During refocusing a different image is formed at opposite polarity $-\Delta Z$, called "conjugate." If the crack is constant in time, then both conjugate and main images have equal strength. Hence, target dynamics are manifested in difference between conjugate and main images.

are

$$E'_{1}(x, y) = \Pi_{\Delta Z} \{ r_{1}(x, y) e^{i2\pi\theta_{1}(x, y)} \} \epsilon_{0}(t)$$

= $[1 + D_{1}(x, y)] \epsilon_{0}(t),$ (14)

(where $\Delta Z = Z_{df}$), so that the diffracted or propagated form of $r_1(x, y)e^{i2\pi\theta_1(x,y)}$ is represented by a background plus a deviation 1 + D,

$$1 + D_1(x, y) = \prod_{\Delta Z} \{ r_1(x, y) e^{i2\pi\theta_1(x, y)} \}.$$
 (15)

Similarly for the other pulse, except there is also the phase stepping phasor that we keep together with the $\epsilon_0(t)$ term, not with the new 1 + D term

$$E'_{2}(x, y) = \Pi_{\Delta Z} \{ r_{2}(x, y) e^{i2\pi \phi_{2}(x, y)} \} \epsilon_{0}(t)$$

= $[1 + D_{2}(x, y)] e^{i2\pi \phi_{n}} \epsilon_{0}(t),$ (16)

$$1 + D_2(x, y) = \prod_{\Delta Z} \{ r_2(x, y) e^{i2\pi\theta_2(x, y)} \}.$$
 (17)

Then when we form W', which is the $E'_1 E''_2$ term, the phase stepping phasor cancels out the other terms as before, leaving only

$$W' \sim (1+D_1)(1+D_2)^* = 1 + D_1 + D_2^* + D_1 D_2^*.$$
 (18)

Because we are defocused, the diffracted energy is spread over a wider area and thus diminishes in amplitude, so |D| < 1



FIG. 17. The general hologram W is a sum of two diffraction patterns D_1 and D_2^* , recording target appearance at t_1 or t_2 . We pretend that we are reconstructing with light from left (when in fact it is done numerically by adjusting ΔZ). Consider D_1 to be a hologram component that diffracts only to form conjugate image at $\Delta Z = +Z_{df}$. The D_2^* has its phase reversed because of complex conjugate and diffracts in other direction, to form main image at $\Delta Z = -Z_{df}$. Net image is sum of focused and defocused images. As ΔZ is adjusted, one image increases blur while the other decreases blur. When at $\Delta Z = \pm Z_{df}$, and if Z_{df} is sufficiently large, then the defocused component lacks the narrow features present in focused component, producing the time-isolating effect. If the target was focused at measurement time, then Z_{df} = 0, the conjugate and main images merge, and there is no time isolating effect.

and thus we can neglect the 2nd order term $D_1 D_2^*$. Hence, we get

$$W' \sim 1 + D_1 + D_2^* \tag{19}$$

which shows additive rather than multiplicative behavior, and thus shows how the time-isolating behavior can occur (Figs. 16 and 17).

D. Theory: Refocused post-measurement case

At this point, we have described the measured complex data (W') in its as-recorded configuration, which is assumed optically defocused by $\Delta Z = Z_{df}$. Now we will describe the effect of refocusing the data to numerically undo the optical defocusing.

We apply the numerical refocusing engine Π to the data W' with an opposite amount of refocus amount $\Delta Z = -Z_{df}$ to produce a refocused result

$$W''_{-Z_{df}} = \Pi_{-Z_{df}} \{ W' \} = \Pi_{-Z_{df}} \{ (1+D_1) + D_2^* \}.$$
(20)

Note that the refocusing function Π ignores the 1 or any constant, since a constant transforms to the (u, v) = 0 position where there is no phase adjustment, $\Phi(0, 0) = 0$. The $(1 + D_1)$ term refocuses back to its focused state, while the other D_2^* does the opposite – it becomes doubly defocused.

This is because of the complex conjugate, which flips the phase polarity in the pupil plane, causes the added parabolic delay $\Phi(u, v)$ to have the opposite effect on wavefront and increase the amount of parabolic component instead of cancelling it. Since it becomes doubly defocused, it spreads out

even more

$$W''_{-Z_{df}} = \Pi_{-Z_{df}} \{ \Pi_{Z_{df}} [r_1 e^{i2\pi\theta_1}] \} + \Pi_{-Z_{df}} \{ D_2^* \}, \qquad (21)$$

$$W''_{-Z_{df}} = [r_1 e^{i2\pi\theta_1}] + \Pi_{-Z_{df}} \{D_2^*\},$$
(22)

and for the opposite holographic image refocused at the opposite polarity $\Delta Z = +Z_{df}$

$$W''_{+Z_{df}} = [r_2 e^{i2\pi\theta_2}] + \Pi_{+Z_{df}} \{D_1\}.$$
 (23)

We call $W''_{+Z_{df}}$ the main holographic image since it reconstructs the later time (t_2) appearance of the target, and call the other holographic image $W''_{+Z_{df}}$ the conjugate image.

Each reconstructed image W'' consists of two components, and in-focus one and a doubly defocused one. The infocus component describes the single wavefront E_1 (target at t_1) plus a doubly blurred component of E_2 (the target at t_2), or vice versa. Because the doubly blurred component are rings that tend to be weaker and expanded beyond the immediate feature, they form a blurred background to the feature and can be distinguished from the feature, at least for narrow features smaller than the ring diameter. The larger the amount of shot time optical defocus Z_{df} , the larger and weaker are the doubly defocused rings, which facilitates the isolation of features from the ringing background.

VI. DISCUSSION

A. Support of time-isolation by simulation

Figure 18 shows a numerical simulation demonstrating the time isolation, for a simulated amount of shot time defocus of $Z_{df} = 0.15$, which is of the same order as the amount defocusing observed in data (0.26 and 0.08, in the two cases shown in Figs. 12 and 11). The hypothetical target has letter "1" at t_1 and letter "2" at t_2 , both as a 100% dark reflectivity feature (center image), and as a 0.2 cycle phase (velocity) feature (slightly to upper left of center). Panel (a) shows the simulated as-measured appearance, which are all rings. Panels (b) and (c) show the simulated recovered images, which are independent, at the two positions of refocus $\Delta Z = \pm 0.15$. Note that the doubly defocused background rings are faint and broad enough diameter to easily distinguish the "1" or "2" features from each others background. These reflectivity features were 100% deep in the target model (0 intensity for dark pixels, 1 intensity for white pixels), i.e., not a small signal. Hence, the small signal condition is apparently not required to produce the time isolation effect – but it is convenient for simplifying the algebra.

The time-isolating or "two-frame" effect is most noticeable for the narrow features, and is not present for broad features. This is because broad features do not change significantly under double defocus. The larger the Z_{df} , the larger the scale of features which can be time isolated.

Figure 19(b) shows a simulated reflectivity feature on the target presents best in the magnitude map output, and a simulated velocity (z position or fringe phase) feature presents best in the phase map output.

Figure 19(a) shows that this two-frame movie ability is due interaction of the two inner pulses (of the four) through



FIG. 18. Simulation demonstrating time-isolating or two-frame movie effect. (a) Simulated raw data taken in defocused configuration, shown as magnitude |W|. Hypothetical target has letter "1" at t_1 and letter "2" at t_2 , both as a 100% dark reflectivity feature (center image), and as a 0.2 cycle phase (velocity) feature (slightly to upper left of center). The optical fields were then defocused to $\Delta Z = 0.15$ to simulate defocused measurement, and then through push-pull analysis to produce W(x, y). This was numerically refocused to $\Delta Z = -0.15$ to produce main image (c), or $\Delta Z = +0.15$ for conjugate image (b). The magnitude |W| shown here displays the reflectivity feature best. The velocity feature shows up best in the phase map (Fig. 19(b)). The faint background ripples are defocused images. The technique also works with white features on gray background, but not white features on pure black background – a nonzero background is needed. Spatial units are pixels.

phase stepping, not the outer two pulses, since if the simulation is modified to defeat the phase stepping the two frames show the same result not two independent results. This also shows that dust specks on common path optics, such as win-



FIG. 19. (a) Simulated result when phase stepping is NOT used – shows that the time-isolating effect is not present, the "1" and "2" of t_1 and t_2 appear combined, and both the conjugate and main images look identical. (b) The velocity feature (upper left of image) shows up best in the phase, not magnitude, of W.

dows to the target chamber, which create diffraction rings on the detector do not produce this two-frame movie ability (since they are not changed by the phase stepping). However, dust specks on a mirror internal to an interferometer, and therefore appear in one pulse but not the other, can cause an artifact. These will also appear on the reference exposure prior to the shot, and thus can be distinguished from shock related behavior.

B. Support of time-isolation in data

Figure 7 (shot 020910-04) shows a change in crack appearance between the conjugate and main frames of shocked Si. Because the cracks appear more developed at $\Delta Z = -0.26$ than $\Delta Z = +0.26$, we have assigned the negative Z to represent the main image at later time t_2 , since it would be unnatural for cracks to reduce in severity with time under shock loading. The polarity of Z is set by polarity of Z_{df} ,

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implying that the target was initially too far from target lens causing camera image to focus in front of the detector, so that retarding the phase with negative $\Phi(u, v)$, which brings camera to focus later (away from interferometer toward detector) brings image into refocus.

Another silicon shot (020910-03) of the same series also shows time development between conjugate and main images, and with the same Z_{df} polarity where the cracks appear more developed for $\Delta Z = -0.08$ than for $\Delta Z = +0.08$. That we see a change between the main and conjugate images is consistent with the two-frame effect. However, our understanding of the fracture dynamics of shocked Si is insufficient yet to make a detailed comparison between a theory and measurement.

VII. CONCLUDING REMARKS

A. Silicon crack growth velocity estimated

Comparing conjugate and main images of shock silicon Fig. 7, which theory ascribes to change in appearance of sample over interferometer delay of 0.27 ns, we estimate crack growth of order $\sim 15 \ \mu$ m, yielding a crack growth velocity of $\sim 60 \ \mu$ m per ns.

B. Effect of laser speckle

The dual interferometer topography allows incoherent or coherent illumination sources. That is, the particular phase of the illumination wavefront entering the dual interferometer system ("input pulse" of Fig. 2) is immaterial, because the interference in the second interferometer is between coherent echoes of that wavefront. However, the magnitude of the wavefront matters. For semi-coherent sources such as the 3 ps pulsed laser we use, laser speckle in the input illumination pulse manifests as a spatially varying intensity modulation, which is a multiplicative factor which propagates through the system and manifests in the refocused image as a modulation, albeit slightly different pattern because of focal change. This modulation can be a spatial noise source for identifying those features on the target having a similar size, but it does not change the phase of the fringe used for determining velocity shift. This is not a serious problem for our system, as we can spatially average our image data to reduce speckle intensity modulations.

However, if the illumination intensity goes to zero in a speckle, or because the target reflectivity is very absorptive or reflects the light at an angle that misses the collection lens, then the fringe phase in those regions is undefined or excessively noisy. We encounter this problem in some of our shocked targets.

In principle, an incoherent illumination pulse would have less speckle induced intensity modulation. While we have demonstrated production of fringes and velocimetry in our apparatus configuration with continuous white light illumination from an incandescent lamp,⁵ and a few microseconds long flash lamp,⁶ we have not yet experimented with, say, passage of an ultrashort pulse through a water cell to generate short pulse yet broad band incoherent illumination.

C. What about the uncertainty principle?

Although we measure both velocity and position of a target quasi-simultaneously, we do not violate the uncertainty principle because our pulse pair illumination creates some ambiguity at the time scale of the pulse separation.

This work is preliminary, but we are excited about the possibility of exploring the three-dimensional nature of rapidly moving and dynamically changing targets in a way not possible with a line or point VISAR.

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APPENDIX: NUMERICAL MODEL

1. Simulated target

The numerical simulation operates on the same 800 \times 800 array used for reprocessing data. A simulated target reflects light differently for the two pulses, designated 1 and 2.

Surface at time t_1 : $E_1(x, y) = 1$ everywhere except for a dark reflectivity feature in center of image in shape of a "1" where $E_1 = 0$, and a phase feature slightly to upper left of center where magnitude of E_1 is unity but phase is shifted by 0.2 cycle, so that $E_1 = e^{i2\pi 0.2}$.

For the surface at time t_2 , E_2 is similar but a shape "2" is used instead, and every pixel is multiplied by a phase stepping shift of $e^{i2\pi\phi_n}$ having values (0,0.25, 0.5, 0.75) cycle for the four simulated "exposures."

2. Simulated measure-as-defocused

The net magnitude or intensity reflected off target is the sum of the intensity of the two outside pulses, plus the two inside pulses. The outside magnitude image $Mag_{outside}(x, y)$ is independent of phase stepping and is

$$Mag_{outside} = |E'_1|^2 + |E'_2|^2,$$
(A1)

where the prime indicates that we propagated the E field through or refocusing engine

$$E'(x, y) \equiv \Pi_{\Delta Z}[E(x, y)], \tag{A2}$$

$$\Pi_{\Delta Z}[E(x, y)] = iFFT\{e^{i2\pi\Phi(u, v)}FFT[E(x, y)]\}, \quad (A3)$$

$$\Phi(u, v) = 0.5\Delta Z (u^2 + v^2) / C^2, \tag{A4}$$

where C = 20. We simulate the target measured in a defocused configuration by amount $Z_{df} = +0.15$ by passing the E's through $\Pi_{\Delta Z}$ with $\Delta Z = Z_{df}$.

The inner two pulses have a net magnitude

$$Mag_{inner} = |E'_1 + E'_2|^2$$
 (A5)

and total magnitude is $S_n = Mag_{outer} + Mag_{inner}$ where the subscript *n* represents the four phase step choices.

3. Detection by simulated instrument

The total magnitude is evaluated four times with the four phase shifts to simulate being recorded simultaneously by the four quadrants. Then these are added and subtracted by the push-pull equation to make a simulated complex image

$$W = (S_0 - S_{180}) - i(S_{90} - S_{270}), \tag{A6}$$

where the phase stepping subscript is in degrees. The magnitude |W(x, y)| is then displayed as the "as recorded" or $\Delta Z = 0$ case shown in Fig. 18(a). As expected, this shows only out of focus rings from the four features (phase or reflectivity, time t_1 or t_2).

4. Simulated refocusing

Now the simulated data W are put through the refocusing engine to create refocused W' (Fig. 18) using the opposite polarity refocusing $\Delta Z = -Z_{df}$, to undo the defocusing imparted at recording time. We recover the so-called main, $t = t_2$ image having "2" using negative $\Delta Z = -Z_{df}$, and the conjugate image "1" if we use a positive $\Delta Z = -Z_{df}$.

It is easy to mix up the polarities. For our particular math engine and how positive phase is defined (clockwise vs counterclockwise), this requires using a minus sign in front of the complex portion of W in Eq. (A6). Otherwise, the polarity of ΔZ needed to recover "2" is reversed. Of course, it is arbitrary which one is called "main" and which called "conjugate," and arbitrary which instance is defined to be earlier, t_1 or t_2 . But we do have a link to a physical phenomena in that the cracks

of Figs. 9 and 12 seem more developed in one frame compared to another and it is logical to expect cracks to deepen their light absorption with the arrow of time.

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